

## Description

# Thermally Tunable Laser with Single Solid Etalon Wavelength Locker

### BACKGROUND OF INVENTION

[0001] 1. Field of the invention

[0002] The present invention generally relates to thermally tunable lasers with a wavelength locker of a single solid etalon used in optical communication, optical information processing, optical measurement, and the like.

[0003] 2. Description of the related art

[0004] Wavelength stable light sources are key optical components in Wavelength division multiplexing (WDM) systems, in which typically there are multiple separately modulated stable light sources as transmitters packaged in separate packages or a single package. These laser transmitters are designed or actively tuned to operate at different standard wavelengths, usually specified by International Telecommunication Union (ITU) as  $\nu_n = \nu_o \pm n \times \Delta\nu$ , where  $\nu_o$  is the

central optical frequency 193.1THz and  $\Delta\nu$  is the specified frequency channel spacing that may equal a multiple of 100GHz or 50GHz. The wavelength stable light sources are generally the distributed feedback laser (DFB) with an active wavelength control device called wavelength locker. The wavelength locker consists of an air-spaced etalon, a multi-phase shifted etalon, a solid etalon with athermal material as its spacing, or a solid etalon sitting on a separate temperature stabilizing device, such as thermal electrical cooler. The DFB lasers have been proven a reliable, cost-effective device used in optical communication.

[0005] The wavelength locker is usually an optical device packaged in a separate box or co-packaged in the same box of the laser diode. The co-packaging solution is cost effective and more reliable. The wavelength locker uses an etalon as a wavelength discriminator. The air-spaced etalon is bulky and expensive. It is not compatible with an industry trend toward low-cost, small form-factor, and low power-consumption stabilized laser modules. It is desirable to have an etalon having small size and being co-packaged on the same platform of the laser diode, even though the platform is subject to a larger temperature fluctuation, for example, for thermally tuning distributed

feedback laser. This invention reveals how to co-package a wavelength locker with a solid etalon made of readily available material, such as fused silica, on the same platform of the semiconductor laser.

## **SUMMARY OF INVENTION**

- [0006] The object of this invention is to provide a way to use a widely available etalon made of materials, such as fused silica, as a wavelength discriminator to lock thermally tunable laser's wavelength, where the etalon is co-packaged on one platform with the laser diode. The laser diode is actively thermally adjusted to change its output wavelength and the etalon is exposed to the same temperature fluctuation. The etalon as a part of wavelength locking scheme stabilizes the output wavelength of the laser diode with electrical controlling circuits.
- [0007] The free spectrum range of the etalon is tailored according to the wavelength change to temperature of the laser diode to assure an accurate wavelength locking at any pre-set wavelengths.
- [0008] Another object of the present invention is to provide a process for the wavelength locking using the wavelength locker.
- [0009] And yet another object of the present invention is to pro-

vide for reduced assembly time, reduced cost, and increased quality and the reliability of a device package.

[0010] Other objects, advantages, and novel features of the present invention will become apparent from the following detailed description of the invention when considered in conjunction with the accompanying drawings and the following detailed description, in which like reference numerals refer to like parts. It will be understood that the particular method and device embodying the invention are shown by way of illustration and not as a limitation of the invention. The principles and features of this invention may be employed in various and numerous embodiments without departing from the scope of the invention.

#### **BRIEF DESCRIPTION OF DRAWINGS**

[0011] In the accompanying drawings, reference characters refer to the same parts through the different views. The drawings are not necessarily to scale; emphasis has instead been placed upon illustrating the principles of the invention. Of the drawings:

[0012] Figure 1 shows one layout of a laser diode with an etalon co-packaged on one platform which sits on a thermal adjustor ( not shown), such as thermal electrical cooler.

[0013] Figure 2 illustrates transmission spectra of an etalon with

100GHz free spectrum range at temperatures.

- [0014] Figure 3 shows transmission spectra of an etalon with compensated free spectrum range at different temperatures.
- [0015] Figure 4 shows an etalon design to lock to channels with the spacing of about the half of the FSR of the etalon and the locking point compensated to the temperature change.
- [0016] Figure 5 illustrates a locking process of adjusting the initial locking point value.

#### **DETAILED DESCRIPTION**

- [0017] Distributed feedback semiconductor lasers or distributed Bragg reflection semiconductor lasers are a key device and widely deployed in optical communication. their wavelength can be thermally tuned for a few 100GHz. To meet the strict requirement of the wavelength stability, their wavelength is controlled by a wavelength locker, in which usually a Fabry-Perot etalon is used as a wavelength discriminator.
- [0018] The etalon in a wavelength locker usually has 100GHz free spectrum range, which is equal to the most popular ITU-defined channel spacing. When it is used to lock a thermally tunable laser, the temperature dependence of the

FSR of the etalon becomes a concern. If a solid etalon is made of, e.g., fused silica, the etalon is placed on a separate temperature controller from the laser diode; otherwise, an air-spaced etalon is used to counter the temperature fluctuation. Either ways increase packaging complexity and the cost. The laser diode and etalon co-packaged on one platform is preferred.

[0019] One of wavelength locker and laser diode arrangements is shown in the figure 1 for example. The wavelength locker and the laser diode are packaged on single platform, which is made of a highly thermally conductive material, such as AlN and Kovar. There are various ways to layout the laser diode and the wavelength locker on the platform as described in prior arts. The output wavelength from the laser diode is adjusted by changing the temperature of the laser diode, for example, by sitting the platform on a thermal electrical cooler. The detector 117 sits behinds the laser diode to monitor the power output. The output beam is collimated from its front side, and passes through a isolator 17. A tap 14 sits an angle in the path of the beam to deviate a small portion of the beam towards the etalon, which sits intentionally perpendicular to the incoming optical beam. The second detector 18 is set be-

hind the etalon to record the wavelength-dependent intensity. The ratio of the signal from the detector 218 to the detector 117 tells the output wavelength of the laser diode. Comparing to a pre-calibrated ratio (for a channel wavelength at a calibration temperature  $T$ ), the diode is set to the channel wavelength.

[0020] If the temperature of the platform changes, the transmission fringes of the etalon shift. As shown in Figure 2, the transmission fringes of an etalon with FSR 100GHz shift left further and further with the increase of the temperature for such as fused silica etalon. For example, at the temperature  $T_1, T_2, T_3, T_4, T_5, T_6$ , the output wavelengths from the laser diode are channel 1, 2, 3, 4, 5 and 6 and the locking points on the flanks of the transmission fringes are  $P_1, P_2, P_3, P_4, P_5$ , and  $P_6$ , respectively. Initially, at the temperature  $T_1$ , the locking point  $P_1$  is set at the middle of one flank of the transmission fringes with a maximum slope which allows the most accurate wavelength locking subject to a given intensity detection accuracy. The locking points  $P_2, P_3, P_4, P_5$ , and  $P_6$  are around the middle of their respective flank. However, at the temperature  $T_2$ , the locking point  $P_2$  slips down the flank, as shown in the figure 2. At the temperature  $T_3$  and  $T_4$ , the

locking points slip further to the valley of the transmission fringes, where the slopes approach to zero and the locking accuracy is very poor. At the temperature  $T_5$  and  $T_6$ , the locking points  $P_5$  and  $P_6$  move to the flank of negative slope. The locking points scatter along the fringes, when the temperature changes.

[0021] To maintain the locking points around the maximum slope of the flanks for a few channels at different temperatures, the temperature effect should be taken into account. The free spectrum range of the etalon should not be set at 100GHz or other ITU channel spacing. For a laser diode, Its temperature dependence of emission wavelength  $(d\lambda/dT)_{\text{laser}}$  can be easily measured. The temperature dependence of the transmission peak of the etalon  $(d\lambda/dT)_{\text{etalon}}$  can be measured, too, which is caused by the temperature dependence of its refractive index and physical thickness (its wavelength dependence is ignored in a small wavelength range).  $(d\lambda/dT)_{\text{etalon}} = \lambda(1/n(\lambda, T)dn(\lambda, T)/dT + 1/t(T)dt(T)/dT)$ , where  $n(\lambda, T)$  is the refractive index of the material of etalon and  $t(T)$  is the thickness of the etalon. The temperature change to drive the wavelength of the laser diode from one channel to another is  $\Delta T = \Delta\lambda / (d\lambda/dT)_{\text{laser}}$ , where  $\Delta\lambda$  is the channel spacing, e.g.,



100GHz ( here using 100GHz for  $\Delta\lambda$  than  $\sim 0.8\text{nm}$  at the wavelength of  $\lambda$  is for the convenience of description, same elsewhere ). The free spectrum range of the etalon should be set at  $\text{FSR}_{\text{etalon}} = \Delta\lambda - (d\lambda/dT)_{\text{etalon}} \times \Delta T$ ; in other words, the FSR plus the peak shift of the etalon during  $\Delta T$  is equal to the channel spacing  $\Delta\lambda$ . For example, for 100GHz channel spacing,  $(d\lambda/dT)_{\text{laser}} = 12.5\text{GHz}/^\circ\text{C}$  for the laser diode,  $(d\lambda/dT)_{\text{etalon}} = 1.35\text{GHz}/^\circ\text{C}$  for fused silica etalon, the free space range of the desired etalon is equal to  $100\text{GHz} - 100\text{GHz}/12.5 \times 1.35 = 89.2\text{GHz}$ . From this FSR, the thickness of the etalon can be derived. The etalon should be selected to have a much smaller temperature dependence  $(d\lambda/dT)_{\text{etalon}}$  than the  $(d\lambda/dT)_{\text{laser}}$ . The smaller  $(d\lambda/dT)_{\text{etalon}}$  allows the locked laser diode to maintain long term stability subject to possible temperature fluctuation, especially, when the actual temperature of the etalon is a little different from the measured temperature. The widely used material for etalon is fused silica. The material for etalon should be transparent at the interested wavelength and has long term chemical stability and robust mechanical properties such as related to polishing, such as laser host material  $\text{LiCaAlF}_6$ , sapphire.

[0022] Shown in Figure 3, using the above example of the etalon

with a free space range 89.2GHz, the initial locking point is set at the middle of one flank of a transmission fringe at temperature  $T_1$  for channel 1. When the temperature increases from  $T_1$  to  $T_2$ , the emission wavelength of the semiconductor laser increases by 100GHz and the fringes of the etalon shifts left 10.8GHz. In addition to the free spacing range of 89.2GHz, the second channel's locking point  $P_2$  sits on the middle of the flank of the next fringe. And equally for  $P_3, P_4, P_5, P_6$  of channel 3, 4, 5, 6 at temperature  $T_3, T_4, T_5, T_6$ . The locking points for all these channels are set at the middle of the flanks of the transmission fringes to ensure an accurate wavelength locking.

[0023] The above gives the operating principle of the present invention. If we know the temperature and wavelength dependence of the refractive index and the thermal expansion coefficient of the material of the etalon, the detailed design of the etalon can start from the formula of the etalon transmission intensity as a function of temperature and wavelength  $I(T, \lambda) = 1/[1 + 4R/(1-R)^2 \sin^2(2\pi n(\lambda, T)t(T)\cos(\theta)/\lambda)]$ , where  $R$  is the reflectivity of the etalon,  $n(\lambda, T)$  is the refractive index at wavelength  $\lambda$  and temperature  $T$ ,  $t(T)$  is the physical thickness of the etalon at temperature  $T$ , and  $\theta$  is the refraction angle in the etalon and

is assumed to be zero degree here. At temperature  $T_1$  and the peak wavelength  $\lambda_1$ , the resonance condition  $2n(\lambda_1, T_1)t(T_1) = m\lambda_1$ ; at the temperature  $T_2$  and the peak wavelength  $\lambda_2$ , the resonance condition  $2n(\lambda_2, T_2)t(T_2) = (m-L)\lambda_2$ , where  $m$  and  $L$  (order difference between the two peaks) are integers.  $L$  can be chosen to be 1, 2, ... to let  $\lambda_2 - \lambda_1$  cover about the middle half of the tuning range of the laser diode. The etalon physical thickness at the temperature  $T_1$ ,  $t(T_1) = [L\lambda_1\lambda_2 + 2n(\lambda_2, T_2)\alpha\Delta T\lambda_1] / [2n(\lambda_1, T_1)\lambda_2 - 2n(\lambda_2, T_2)\lambda_1]$ , where  $\alpha$  is the thermal expansion coefficient of the etalon. The calculated thickness  $t(T_1)$  is corrected for the material dispersion to its linear term (the refractive index is a function of wavelength and can be written as  $n_0 + a(\lambda - \lambda_0) + \text{higher order terms around } \lambda_0$ , where  $n_0$  is a refractive index at the wavelength  $\lambda_0$  and the second term is the linear term and  $a$  is a constant) and the temperature effect on the etalon. Assuming  $\lambda_1 = 1550.116\text{nm}$ ,  $\lambda_2 = 1550.918\text{nm}$ ,  $T_1 = 22^\circ\text{C}$ ,  $T_2 = 30^\circ\text{C}$ , for fused silica etalon  $\alpha = 0.52 \times 10^{-6}/^\circ\text{C}$ ,  $n(\lambda_1, T_1) = 1.443985$ ,  $n(\lambda_2, T_2) = 1.4440512$ , the  $t(T_1) = 1.139\text{mm}$ . In most case, the temperature and wavelength dependence of the refractive index and the thermal expansion coefficient are not accurately known, a few times try-and-error should be taken

to find the thickness of the etalon.

[0024] Figure 4 shows using the flanks of the etalon transmission fringes with both positive and negative slope to lock wavelengths. For a thermally tunable laser, the locking points for every channel are calibrated before its deployment. The output (channel) wavelength after calibration is affected by the device aging and by the injection current change to control the output power. Usually this wavelength deviation from its calibrated value is small. Chung et al, experimentally showed that the emission wavelength ages less than 0.1nm for most DFB lasers in "Aging-Induced Wavelength Shifts in 1.5 $\mu$ m DFB laser", IEEE Photon. Tech. Lett., vol. 6, 1994. 0.1nm wavelength aging corresponds to  $\sim 1^\circ\text{C}$  temperature adjustment needed for DFB lasers. For this  $1^\circ\text{C}$  temperature change, the fused silica etalon fringe shifts about 1.35GHz. It results in the wavelength locking error 1.35GHz. If this error is beyond the tolerance, the locking point value should be adjusted according to the temperature change. As shown in Figure 4, an illustration, the locking point value should be adjusted to  $P_1'$  when the temperature changes from  $T_1$  to  $T_2$ . The adjustment  $P_1 P_1'$  is calculated according to the transmission intensity formula by an amount of  $(I(\lambda, T_2) - I(\lambda, T_1))$ ,

where  $\lambda$  is the locked wavelength. If we know the slope  $dl(\lambda, T)/d\lambda$  at the locking point,  $P_1 P_1'$  is also equal to the slope multiplied by the wavelength shift.

[0025] Figure 5 illustrates a process for locking a wavelength. It is assumed that at the temperature  $T$ , the pre-calibrated locking ratio is  $P$  at the channel wavelength  $\lambda_1$ . During the operation, when setting the temperature to  $T$ , the measured locking ratio, say, is  $P'$  different from the pre-calibrated  $P$ . The reason for the discrepancy may come from the device aging. The temperature should be reduced to  $T'$  to decrease the output wavelength from the laser diode. At  $T'$ , the locking ratio should be adjusted to  $P''$  according to above method. The temperature adjustment process may go a few times until the measured locking ratio matching the adjusted locking ratio.

[0026] The locking process is completed by an outside electronic circuit board. The board has the functions of calculating the ratio between two detectors, comparing the ratio to a pre-calibrated locking point value, adjusting the temperature, adjusting the pre-calibrated locking point value according to the measured temperature. A locking cycle is as follow: (a) to set the temperature of the platform to a temperature at which the pre-calibrated locking point

value was taken, (b) calculated the locking ratio, (c) comparing the calculated locking ratio to the pre-calibrated locking point value, (d) if there is a discrepancy, to adjust the temperature to match the calculated ratio to the pre-calibrated locking point value, (e) to adjust the pre-calibrated locking point value according to the measured temperature ( a new pre-calibrated locking point value), (f) to repeat (c) to (e) until the calculated ratio matching the adjusted pre-calibrated locking point value.

[0027] While the invention has been shown and described with reference to one specific preferred embodiment, it should be understood by those skilled in the art that various changes in form and detail may be made therein without departing from the spirit and scope of the invention as defined by the following claims.